



7246/63014

#4/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yoichiro SAKO et al.

Serial No.: 09/889,291

Filed: July 13, 2001

For: RECORDING MEDIUM, DATA RECORDING METHOD AND
APPARATUS, DATA REGENERATING METHOD AND
APPARATUS, AND COPY CONTROL METHOD

Group A.U.: 2131

COPY OF PAPERS
ORIGINALLY FILED

I hereby certify that this paper is being deposited this date with
the U.S. Postal Service as first class mail addressed to :
Assistant Commissioner for Patents, Washington, D.C. 20231

JAY H. MAIOLI
Reg. No. 27,213

Date

05.13.02

RECEIVED

MAY 31 2002

Technology Center 2100

May 13, 2002
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the initial examination of the above-identified
application, Applicants respectfully request that the
application be amended as follows:

IN THE ABSTRACT OF THE DISCLOSURE

Please amend the Abstract of the Disclosure by rewriting
same to read as follows:

a' --Data is recorded in a first part in accordance with a
signal format equivalent to that of an existing CD at the



7246/63014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoichiro SAKO et al.

Serial No.: 09/889,291

Filed: July 13, 2001

For: RECORDING MEDIUM, DATA RECORDING METHOD AND APPARATUS,
DATA REGENERATING METHOD AND APPARATUS, AND COPY
CONTROL METHOD

Date: May 13, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ No fee is required.☐ The fee has been calculated as shown below.☐ Total claims in excess of ___ previously paid for, at \$18 (\$9) ___☐ Independent claims in excess of ___ previously paid for, at \$84 (\$42) ___☐ Additional Fee for this Amendment ___☐ This Response is being filed within the ___ first month, ___ second month, ___ third month,
___ fourth month, ___ fifth month following the expiration of the term originally set therefor.
Applicants Petition for an extension, and the fee of ___ \$110 (\$55), ___ \$400 (\$200), ___ \$920
(\$460), ___ \$1,440 (\$720), ___ \$1,960 (\$980) is due and paid herewith.☐ The fee of \$ ___ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid
herewith.☐ A check in the amount of \$ 0.00 is attached.☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.**RECEIVED****MAY 31 2002****Technology Center 2100**

COOPER & DUNHAM, LLP

Jay H. Maioli, Reg. No. 27,213
COOPER & DUNHAM, LLP
1185 Avenue of the Americas
New York, NY 10036
Telephone (212) 278-0400